

Brief Introduction of Industrial Enterprises'  
Obligations and Rights under the ETS

简介：工业企业在碳交易体系（ETS）下的权利和义务

Lutz v. Meyerinck

Meyerinck Consultants, Hamburg/Germany

Meyerinck 顾问，德国/汉堡

# Obligations

## 义务

- MRV:
  - Reporting against a solid protocol compatible to your sector's requirements  
根据行业部门要求按照规定进行报告。
  - Because: No „laissez fair“: „A ton needs to be a ton“, because a ton means money  
原因：无“自由放任”，“一吨就是一吨”，因为每一吨都代表金钱
- Registers: 注册：
  - Good governance on the Register 注册的有序管理
  - Public access to Register 可公开访问的注册
- Governance: 管理：
  - Surrender certificates as required  
按要求提交所需证书
  - No system without penalty and damage compensation  
制度应包括惩罚和违约赔偿

# Rights: 权利:

- MRV:
  - Protocol must reflect your process'/industry's/sectors' needs  
协议须反映流程/工业/行业的需求
  - Keep Verifiers liable  
确保核证方承担责任
  
- Registers: Simple, real-time, easy, good access control, well controlled overall  
Governance  
注册：简单、实时、方便、良好的访问控制、良好的整体管理。
  
- Trades: 交易:
  - Over exchanges & OTC 通过交换和直接交易
  - Trading of derivatives 衍生物交易
  
- Governance: Each decision by the competent authority must be able to be challenged independently  
管理：主管部门的每个决定都须经得住考验

# Recommendations

## 建议

- Governance is something you should want: It is a market!  
必须有管理：这是市场！
- One competent authority, reporting to Department of Finance  
有一个权威机构，向财政部门报告
  - Likewise: Internal administration of certificates belongs to the Finance Department (not Environmental)  
如：隶属于财政部门（而非环境部门）的内部认证管理机构
- Operators must develop the strategy for investing/trading  
操作者须制定投资/交易的策略
- Four eye principle on all transactions  
所有交易均遵循“四眼原则”

Many Thanks for Your Attention  
感谢您的关注！

Dr. Lutz v. Meyerinck  
AGE-Sekretariat

Contact 联系方式:

Meyerinck Consultants

Hegestieg 1, D-20249 Hamburg/Germany,  
fon +49-40-4696 7667, Fax +49-40-4607 01 49,  
mail meyerinck@meyerinck-consultants.de,  
internet: [www.meyerinck-consultants.de](http://www.meyerinck-consultants.de)